

UNITED STATES HOUSE OF REPRESENTATIVES 2018 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

HAND DELIVERED Page 1 of 15

LEGISLATIVE RESOURCE CENTER
MAY 13 2019 (Office Use Only) 44

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

Name: Bradley James Sherman Daytime Telephone: _____

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>CA</u> District: <u>30</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>
	REPORT TYPE	<input checked="" type="checkbox"/> 2018 Annual (Due: May 15, 2019)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE A - ASSETS & "UNEARNED INCOME"

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BLOCK A			BLOCK B													BLOCK C								BLOCK D												BLOCK E
Assets and/or Income Sources			Value of Asset													Type of Income								Amount of Income												Transaction
<p>Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use only ticker symbols).</p> <p>For all IRAs and other retirement plans (such as 401(k) plans), provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.</p> <p>For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.</p>			<p>Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."</p> <p>*Column M is for assets held by your spouse or dependent child in which you have no interest.</p>													<p>Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.</p>								<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.</p> <p>*Column XII is for assets held by your spouse or dependent child in which you have no interest.</p>												<p>Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in the reporting period.</p> <p>If only a portion of an asset was sold, please indicate as follows: (S (part)).</p> <p>Leave this column blank if there are no transactions that exceeded \$1,000.</p>
SP, DC, JT	EIF		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
		Examples: Mega Corp. Stock																																		
		Simon & Schuster																																		
		ABC Hedge Fund	X																																	
		Bank of America			X																															

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Assets and/or Income Sources		Value of Asset	Type of Income	Amount of Income	Transaction
S/P, DC, JT	ASSET NAME	A None	NONE	I None	P, S, S(part), or JT
		B \$1-\$1,000	DIVIDENDS	II \$1-\$200	
		C \$1,001-\$15,000	RENT	III \$201-\$1,000	
		D \$15,001-\$50,000	INTEREST	IV \$1,001-\$2,500	
		E \$50,001-\$100,000	CAPITAL GAINS	V \$2,501-\$5,000	
		F \$100,001-\$250,000	EXCEPTED/BLIND TRUST	VI \$5,001-\$15,000	
		G \$250,001-\$500,000	TAX-DEFERRED	VII \$15,001-\$50,000	
		H \$500,001-\$1,000,000	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	VIII \$50,001-\$100,000	
		I \$1,000,001-\$5,000,000		IX \$100,001-\$1,000,000	
		J \$5,000,001-\$25,000,000		X \$1,000,001-\$5,000,000	
		K \$25,000,001-\$50,000,000		XI Over \$5,000,000	
		L Over \$50,000,000		XII Spouse/DC Asset with income over \$1,000,000*	
		M Spouse/DC Asset over \$1,000,000*			
	Bee Bee Draw Humbert Properties (small working interest in oil + gas wells in Weld County CO) Valuation is a rough estimate; royalties net of expenses	X	Royalty's	X	

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Use additional sheets if more space is required.

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Use additional sheets if more space is required.

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Use additional sheets if more space is required

SCHEDULE A - ASSETS & "UNEARNED INCOME"

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		
	Wangard Tips Fund (personal account not 1P-A PO Box 105433 Atlanta, GA 30348						X									X			X									X									P S (part)
	Wangard CALIF Intermediate Tax except Bd PO Box 105433 Atlanta, GA 30348						X									X												X									P

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
	\$250,001-\$500,000																																			
	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	MesCom Credit Union (third account)			X														X																		
	5000 Van Nuys Blvd. Sherman Oaks, CA 91403																																			
	MesCom Credit Union (fourth account)			X																																
	5000 Van Nuys Blvd. Sherman Oaks, CA 91403																																			
	Sandy Springs Bank (MD) small checking account		X																																	

SCHEDULE B - TRANSACTIONS

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/9/18		X									
	Vanguard Tips fund (personal account - not IRA)	X		X		X												
	see a attached schedule of transaction																	
	Vanguard Tips Fund IRA	X																
	see a attached schedule of transaction																	
	Vanguard CA Intmed Tax Exempt Bd	X																
	see a attached schedule of transaction																	

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INCOME LIMITS and PROHIBITED INCOME: The 2018 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$28,050. The 2019 limit is \$28,440. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

[illegible]

SCHEDULE D - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/16	Mortgage on Rental Property, Dover, DE				X							
	Sandy Spring Bank (MD)	1/17	Home Equity line of credit				X							
			Secured by Wash DC Home											
	Congressional Federal Credit Union	9/16	first mortgage on home						X					
	Kayburn Building		in DC											

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization

Schedule of Transactions**Vanguard TIPS Fund (Not IRA)**

Date	Transaction	Amount
3/15/2018	Income Dividend Reinvested	\$477.39
6/15/2018	Income Dividend Reinvested	\$963.79
9/21/2018	Income Dividend Reinvested	\$1,196.49
12/18/2018	Income Dividend Reinvested	\$1,976.03
Total		\$4,613.70
12/18/2018	Sale (Net Gain \$2,034.00)	\$20,000

Vanguard TIPS Funds (IRA)

Date	Transaction	Amount
3/15/2018	Income Dividend Reinvested	\$431.96
06/15/2018	Income Dividend Reinvested	\$872.09
09/21/2018	Income Dividend Reinvested	\$1,082.65
12/18/2018	Income Dividend Reinvested	\$1,788.02
Total		\$4,174.72

Date	Transaction	Amount
01/31/2018	Income Dividend Reinvested	\$367.87
02/28/2018	Income Dividend Reinvested	\$331.27
03/29/2018	Income Dividend Reinvested	\$368.87
04/30/2018	Income Dividend Reinvested	\$358.55
05/31/2018	Income Dividend Reinvested	\$371.01
06/30/2018	Income Dividend Reinvested	\$359.48
07/31/2018	Income Dividend Reinvested	\$366.11
08/31/2018	Income Dividend Reinvested	\$364.80
09/28/2018	Income Dividend Reinvested	\$357.10
10/31/2018	Income Dividend Reinvested	\$370.81
11/30/2018	Income Dividend Reinvested	\$363.93
12/29/2018	Income Dividend Reinvested	\$380.07
Total	Income Dividend Reinvested	\$4,359.87

Footnotes

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Number	Section/Schedule	Footnote
1	General Information	Filer owns a home in his district. Because that home is not subject to a mortgage, and does not produce rental income, it is not listed in this report.
2	General Information	We have been advised that the phrase "trust benefiting you" does not apply to a trust from which the filer receives no benefits, but which will provide a benefit at some future time upon the death of the current beneficiary (but only if the trust happens to still have assets at that time).
3	General Information	Disclosure not required by law or regulation: filer's campaign committee has made significant investments, including in US government bonds (TIPS). For details, see its FEC report.